

Attorney's Docket No.: 05220.P002X

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David D. Faraldo II

Application No.: 10/016,117

Filed: October 30, 2001

For: METHOD OF AND APPARATUS FOR  
NOTIFICATION OF STATE CHANGES IN A  
MONITORED SYSTEM



Assistant Commissioner for Patents

**Box Missing Parts**

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed January 22, 2002 please find enclosed:

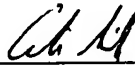
- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of  
37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 14 2002



André M. Gibbs  
Reg. No. 47,593


12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on March 14, 2002  
Date of Deposit

La Renda Meyer  
Name of Person Mailing Correspondence

 3/14/02  
Signature Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,117	10/30/2001	David D. Faraldo II	05220.P002X

CONFIRMATION NO. 7950

FORMALITIES LETTER



\*OC000000007340053\*

Andre M. Gibbs  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 01/22/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

S: A

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 NROCHA1 00000016 10016117

01 FC:105

130.00 OP

JCS


 C I P E J C I T  
 MAR 25 2002  
 UNITED STATES PATENT AND TRADEMARK OFFICE

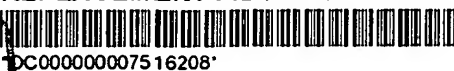
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/016,117	10/30/2001	2152	986	05220.P002X	10	29	4

CONFIRMATION NO. 7950

## REPLACEMENT FILING RECEIPT

 Andre M. Gibbs  
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
 Seventh Floor  
 12400 Wilshire Boulevard  
 Los Angeles, CA 90025-1026

 RECEIVED  
 FEB 27 2002


DC000000007516208

 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
 LOS ANGELES

Date Mailed: 02/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David D. Faraldo II, Foster City, CA;

ENTERED

FEB 27 2002

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/703,329 10/31/2000

STATUS DB-LA

## Foreign Applications

If Required, Foreign Filing License Granted 01/22/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

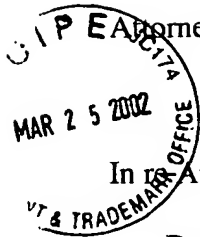
## Title

Should be :---Method---

Methods of and apparatus for notification of state changes in a monitored system

## Preliminary Class

709



Attorney Docket No.: 05220.P002X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David D. Faraldo II

Application No.: 10/016,117

Filed: October 30, 2001

For: METHOD OF AND APPARATUS FOR )  
NOTIFICATION OF STATE CHANGES )  
IN A MONITORED SYSTEM )

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

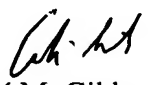
Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

In the title, change the first word: "Methods" to --Method--.

No fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

  
André M. Gibbs  
Registration No. 47,593

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

05220.P002X